University of California
Southern Regional Library Facility
Annual Report
1988/89

# UNIVERSITY OF CALIFORNIA SOUTHERN REGIONAL LIBRARY FACILITY

ANNUAL REPORT

1988/89

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#### I. EXECUTIVE SUMMARY

The fiscal year began with a recruitment drive to hire more processing staff, a critical element in our goal to process one million volumes in 1988/89. We created half-time career positions for the first time, hoping that such positions would eliminate the fatigue and stress problems that affect staff who work long hours in front of a VDT. Between September and December we had hired six half-time and two full-time processors. Three of our half-time staff, however, resigned in that same period. Later in the year we hired more full-time staff, and among them were four casual positions whose assignments are split between processing and public service duties. These positions have proven to be successful, and we plan to add four more split positions in the summer of 1989/90.

In August, looking forward to the new hires we anticipated, it was decided to reduce by half the number of overtime staff we had working for us. This decision was based on concern for salary expenditures and a feeling that we should begin weaning ourselves of this valuable but "temporary" workforce. In hindsight, this action was not necessary because we were not able to hire and retain as many qualified new staff as expected; thus the budget would have supported the full overtime workforce through the year. Therefore staff did not process as many items as we had planned.

For the first time, processing of monograph and serial deposits from all campuses was achieved this last Fall. Beginning slowly with monographs only, the ORIONdeveloped program for downloading records from the MELVYL Catalog® and uploading them into ORION's SRLF file was tested, reviewed and refined. By January we were also searching CALLS and downloading and uploading serial records as well. There were however, a few rough spots along the way. A particularly troublesome condition was found with the configuration of the four MELVYL PC's and their hook-up to MELVYL via a multiplexor. After a lengthy investigation and many hours of downtime and lost records, it was determined that MELVYL could not communicate well with the multiplexor, a device intended to facilitate communication to and from the four PC's and a single telecommunications line. To resolve the situation, three additional data lines were installed to give each PC its own line and port to the UCLA MELVYL IMP and TAC. Downtime ceased to be a chronic problem following this installation. In addition to this telecommunications problem, staff have refined MELVYL/CALLS workflow and procedures in order to work around certain limitations in the upload program. Most notably, we discovered that the ORION staging file for SRLF records can be accessed by only one processor at a time. The program does not allow for queueing, so staff must coordinate carefully the uploading of files and the processing of those

files. Processing staff have now established a pattern and sequence for carrying out these operations and the workflow is moving at a much steadier pace.

In Public Services, we experienced a tremendous increase in the number of paging requests received. This year's total was 32,968, an increase of 295% over the previous year. Requests are being received directly from all UC campuses except Berkeley and are sent to SRLF via electronic mail (ORION or CLASS OnTyme) or telefacsimile in most cases. We have successfully met our commitment to retrieve items and have them ready for delivery within one working day. Interestingly, the second largest source of paging requests comes from SRLF on-site users who numbered 1,140 this year.

New this year was the introduction of telefacsimile. When page numbers are indicated on the paging request, staff fax the article to campus libraries rather than sending out the journal volume, greatly reducing the turn-around time to northern campuses especially and also reducing the wear-and-tear on the volume. Telefac-simile statistics for this year are very low, but we expect to see that figure grow as more users learn of the availability of this service.

#### II. SIGNIFICANT ACCOMPLISHMENTS OF 1988/89

- . A total of 638,248 items were processed and added to the SRLF in 1988/89.
- . A total of 32,968 items were paged and delivered to libraries/users in 1988/89.
- . Tours of the Southern Regional Library Facility were given to 108 people during 1988/89.
- In August, administrative staff completed a database inventory of all equipment.
- A software program to provide monthly expenditure statements and to forecast yearly expenditures for supplies and expense was designed and implemented during the Fall.
- . During Summer and Fall quarters, staff began processing duplicate deposits (X barcodes) and the non-book deposits of microfilm and map collections.
- In September, staff began processing monograph deposits through MELVYL using a program developed by ORION Systems Office. In January, processing began on serial deposits with the downloading of CALLS bibliographic records. By May, staff were keeping pace with incoming deposits and working off the backlog of ca. 90,000 volumes (excluding UCLA deposits). The initial months were spent refining the downloading and uploading procedures and training staff. These procedures were reviewed and revised as staff gained more experience and expertise. By the end of June, the four MELVYL/CALLS searching stations were fully scheduled and occupied throughout the week to ensure that optimum productivity could be attained.

Following Board approval, Public Service staff began in January a test program of telefacsimile transmission of journal articles to UC campuses. Preliminary responses indicated that the program was successful, with transmission time for an average ten-page article taking 3.5 minutes and quality of copies considered satisfactory in all cases. In April the program was expanded to include all UC campuses except UCLA.

In February, staff began processing special collections and archive deposits. So as not to compromise the security of these collections, bibliographic forms are filled out in Stack Level 2 and items are processed online from the forms and not from the boxes themselves. As of June 30, ca. 18,000 items had been processed, reducing that backlog by 36%.

Procedures and programming were refined for UCLA processing with the result that problem reporting to the owning libraries and ORION User Services was virtually eliminated, thereby allowing staff to focus their activities on adding items.

In May, a manual Holds and Recall system was implemented for libraries not using the ORION Circulation System. The manual holds/recall system parallels a hoped-for ORION enhancement.

#### III. SHORT TERM GOALS AND STRATEGIES FOR 1989/90

#### ADMINISTRATION:

- . Develop a disaster plan for the SRLF.
- . Complete a database inventory of all keys issued to staff.
- . Complete development of the software program to provide monthly expenditure statements and to forecast yearly expenditures for salaries of career and casual staff.
- Establish an income account for revenues generated by on-site photocopy services and fees collected from non-UC depositors.
- Enhance administrative microcomputing and telecommunications capabilities to allow for remote access to the Facility.
- . Establish permanent career staffing level and post appropriate positions.

## PROCESSING:

Process 750,000 items in 1989/90 at the rate of 62,500 items per month.

- Hire additional staff to maintain the needed workforce of 30 FTE required to process 750,000 items per year.
- Add four ORION processing terminals, two MELVYL PC's and the necessary workstations to accommodate increased staffing level of the Processing Section.
- Eliminate the backlog of unprocessed deposits (ca. 200,000 volumes as of June 30,1989):
  - . Riverside 34,000 vols. to be completed by 07/31/89
  - San Diego 49,800 vols. to be completed by 10/31/89
  - Los Angeles ca. 112,000 vols. to be complete by 01/01/90 (including ca. 35,000 special collections and archive boxes)
- . Compile a comprehensive procedures manual for the processing of all categories of deposits, including UCLA monographs and serials, UCI serials, MELVYL and CALLS downloading and uploading of bibliographic records, non-book materials, and problem reporting mechanisms.
- . Evaluate and reorganize the problem holding areas for increased efficiency.
- Meet with representatives from the campus cataloging centers to review guidelines and procedures for problem reporting. The review is intended to simplify and standardize procedures among campuses, with the ultimate goal of reducing the number of reports and volumes that are returned to the campuses unprocessed.

#### **PUBLIC SERVICE:**

- Finish drafting the <u>Student Information Brochure</u> and distribute to all student assistants.
- Complete project to shift and fine order approximately 112,000 volumes in the UCLA Stack Annex backlog. This project was begun in June, and will greatly improve retrievability from the collection.
- . Complete projects to rehang shelves on all levels of book storage by October 1, 1989.
- Design and implement a manual Billing/Missing Books system which will operate until such time as a parallel automated system is developed and available from ORION.
- Create a comprehensive Desk Manual to be implemented in phases. Included will be information on available user services and procedures for such ORION functions as searching, charging out, renewing, updating user records, and discharging. Revise related desk forms for easier use and create new forms for gathering on-site user statistical information.
  - Create and implement circulation statistic forms for holds, recalls, and bills.
- Prepare a complete revised ORION Enhancement List for circulation and processing functions.

#### IV. CONCERNS FOR OPERATIONS

An overriding factor in our inability to process one million items during 1988/89 has been difficulty in recruiting and retaining staff, particularly in the Processing Section. In August, 1988, we geared up for recruitment, hiring and training of six new FTE, and in anticipation of these new staff reduced the number of overtime staff working at the SRLF.

Our efforts met with only limited success. For example, four career half-time positions were created at the Library Assistant I level. We filled all four and added two half-time casual positions as well. A full-time LA I was also hired at this time. All six started by mid-October. By the end of November, three of the half-time staff members had resigned their positions.

A similar situation occurred again in the Spring. Three Library Assistant II's and four Library Assistant I's (all full-time) were hired. Two of the LA II's resigned within six weeks of their hire dates; one LA I resigned after three months and another reduced her hours to 50% time. On at least four separate occasions we have lost out because our preferred candidates accepted job offers elsewhere.

Some appointees were currently enrolled students who found they couldn't meet the work requirements, others found higher paying jobs and still others did not seem suited to the work. This experience indicates that we must be very clear in explaining the kind of work and working hours to potential employees. But we also plan to review job classification levels with UCLA Personnel and seek ways to provide greater variety of tasks in the processing jobs.

Pedestrian access to the SRLF continues to be a concern. From November, 1988, through March, 1989, the SRLF employed a driver and leased a station wagon during evening hours. By doing so, we were able to provide safe and secure travel of employees from the Facility to dormatories, bus stops, and residences adjacent to the campus.

In the Spring, a new road leading to the Facility was constructed; included was a sidewalk which provides safer passage to and from the SRLF. Also at this time, campus shuttle system began serving SRLF for the limited hours of 11:30 a.m. to 2:30 p.m. The road construction, however, included no lighting enhancements and we believe that once again, when we go back to standard time, access from the Facility will be difficult for employees who work after 5:00 p.m.

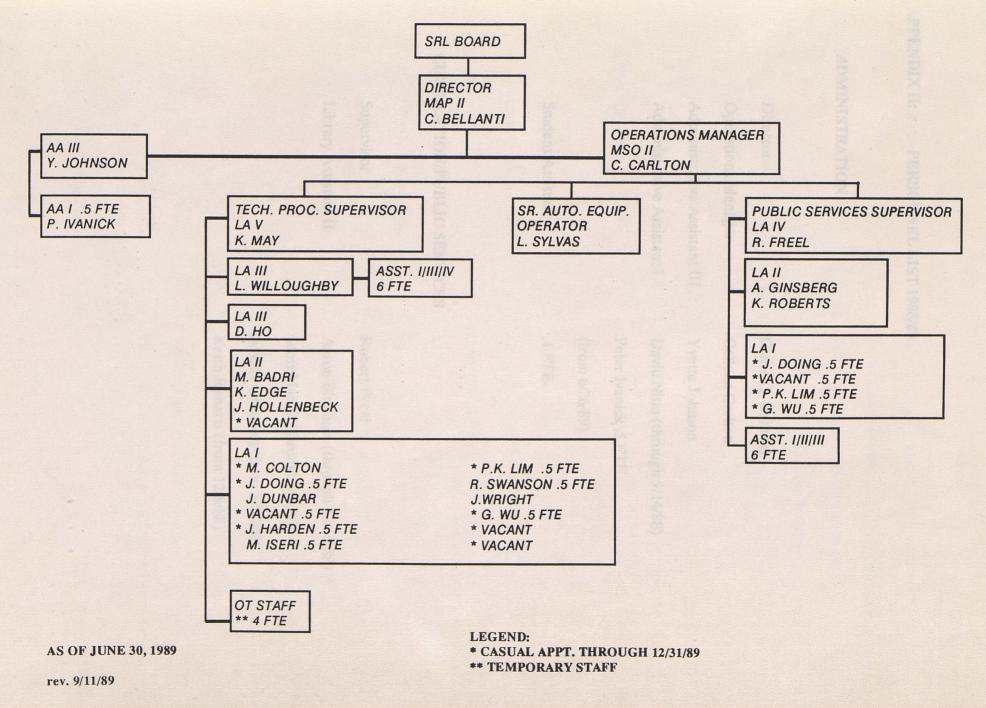
At the Fall Board meeting we will ask the Board to approve \$5300.00 to provide a car and driver for our staff after dark.

In addition to personal safety for our employees, building security and safety are also of concern. During the last year a complete security system was installed and it included equipment to receive SRLF security alarm signals at the University Police Station. After much testing and re-testing of the equipment, the system was accepted by UCPD in the Spring. The police department, however, will be enhancing their communications system in 1989/90 to enable them to monitor locations throughout the campus. With modifications to our existing system, the SRLF could be tied in directly to the station. The new communications system promises greater reliability and it is hoped that SRLF will be able to convert to that system without costly expenditures.

To provide more complete protection for the collections stored here, SRLF administrators and UCLA Facilities staff are considering a separate alarm system to monitor HVAC conditions. In the event of heating or air conditioning failure or floods, sensors installed throughout the Facility would be activated to alert staff immediately. Installation of such a system will be scheduled within the next fiscal year after Board approval.

Phase II planning began in earnest during this last year. The project planning guide will be submitted in the Fall of 1989, and plans and projections for equipment and furniture needs are underway. The estimated date at which Phase I will be filled to capacity is December 1993. Unless construction of Phase II begins in early 1993, there is concern that the SRLF, like NRLF before it, will be faced with a lengthy period during which no deposits can be received and shelved.

#### APPENDIX I: SOUTHERN REGIONAL LIBRARY FACILITY ORGANIZATIONAL CHART - 1988/89



### APPENDIX II: PERSONNEL LIST 1988/89

#### **ADMINISTRATION**

Director Claire Q. Bellanti

Operations Manager Colleen Carlton

Administrative Assistant III Yvette Johnson

Administrative Assistant I David Nitta (through 9/14/88)

Peter Ivanick .5 FTE

(from 6/26/89)

Student Assistant .4 FTE

#### CIRCULATION/PUBLIC SERVICES

Supervisor Robert Freel

Library Assistant II Aaron Bingham (through 12/10/88)

Scott Martin (through 9/4/88)

Arthur Ginsberg (from 3/15/89)

Keith Roberts (from 12/8/88)

Library Assistant I

John Doing .5 FTE (from 3/15/89)

Karen Gudmundsson .5 FTE (from 3/15/89)

Poh Kim Lim .5 FTE (from 3/28/89)

Grace Wu .5 FTE (from 3/15/89)

Student Assistants

6 FTE

#### **PROCESSING**

Supervisor

Karen May

Library Assistant III

Dora Ho (from 2/1/89)

Lola Willoughby

Library Assistant II

Karen Edge

Minoo Badri (from 2/27/89)

Dora Ho (through 1/31/89)

Jess Hollenback (from 5/22/89)

Ron Kelley (through 9/88)

Bill Mansker

Norbert Martinez (2/89 to 3/89)

Yuki Yushino (8/1/88 to 10/4/88)

Library Assistant I

Albert Camacho (through 4/89)

Beatrice Dumin .5 FTE (from 9/88 to 10/88)

John Doing .5 FTE (from 3/15/89)

Jefferson Dunbar (from 10/88)

Pauline Gold (through 8/7/88)

Jocelyn Harden .5 FTE (from 9/88)

Marilyn Iseri .5 FTE (from 9/88)

Donna LaFollette (from 7/88 to 12/88)

Jae Lee (from 10/4/88 to 12/1/88)

Poh Kim Lim .5 FTE (from 3/89)

Rosemary Swanson .5 FTE (from 9/88)

Arveal Turner (through 8/88)

Jonathan Wright (from 10/88)

Grace Wu .5 FTE (from 3/89)

Mary Ann Colton

Student Assistants

6 FTE

#### **DEPOSITS**

Senior Automotive

Lonnie Sylvas

**Equipment Operator** 

#### APPENDIX III: STAFF DEVELOPMENT ACTIVITIES

#### Claire Bellanti

- Organizer and Speaker, ACRL Meeting "Cooperative Library Storage: the California Experience", Cleveland, April, 1989
- . Assessor, UCLA Management Skills Assessment Program

#### Aaron Bingham

- . Library Microcomputing Courses:Introduction to PCs, WordPerfect
- . ORION Searching Class

#### Colleen Carlton

- . Conflict Management
- . Financial Administration and Fiscal Planning for UCLA Managers
- . Time Management
- . Seminar: Facsimile: The Born-Again Technology

#### Bob Freel

- . Desktop Publishing (By Ventura)
- . Supervisor's Role in Employee Grievances
- . Supervisory Principles Laboratory
- Seminar: Facsimile: The Born-Again Technology
- . Seminar: How to Deal With Difficult People

#### Arthur Ginsberg

. ORION Searching Class

#### Yvette Johnson

- . Accounting: General Ledgers and Forms
- . Mail Services and Procedures
- . Materiel Management Procedures
- . Payroll Forms Processing
- . Seminar: Facsimile: The Born-Again Technology
- . Seminar: "I Heard It Through The Grapevine: Communications in Management"
- . Seminar: How to Deal With Difficult People

#### Karen May

- . Communication Skills for Supervisors
- . Employee Performance Appraisal
- . Supervisor's Role in Employee Grievances
- . Seminar: How to Deal With Difficult People
- . Seminar: "I Heard It Through The Grapevine: Communications in Management"

#### Keith Roberts

ORION Searching Class

#### Lola Willoughby

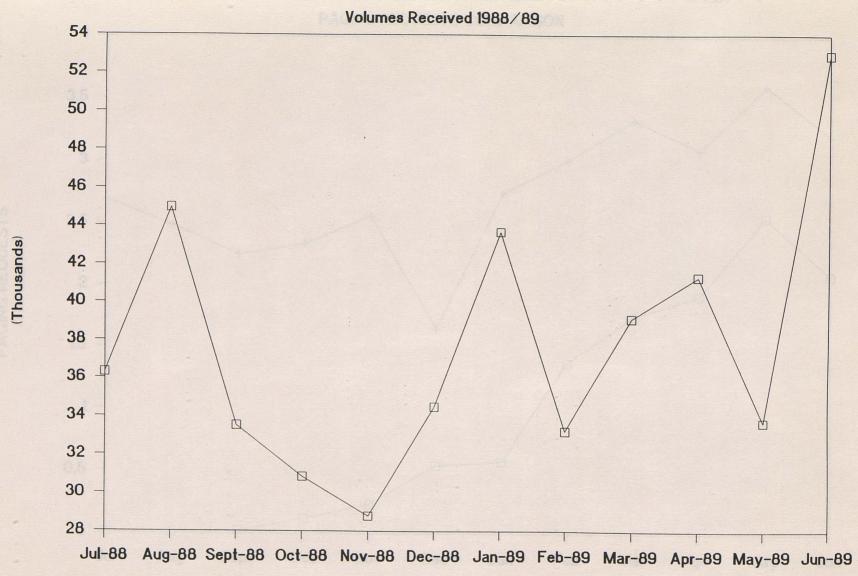
- . Communication Skills for Supervisors
- . Employee Performance Appraisal

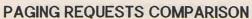
APPENDIX IV
SRLF SELECTED STATISTICS 1988-89 - Page 1 of 3

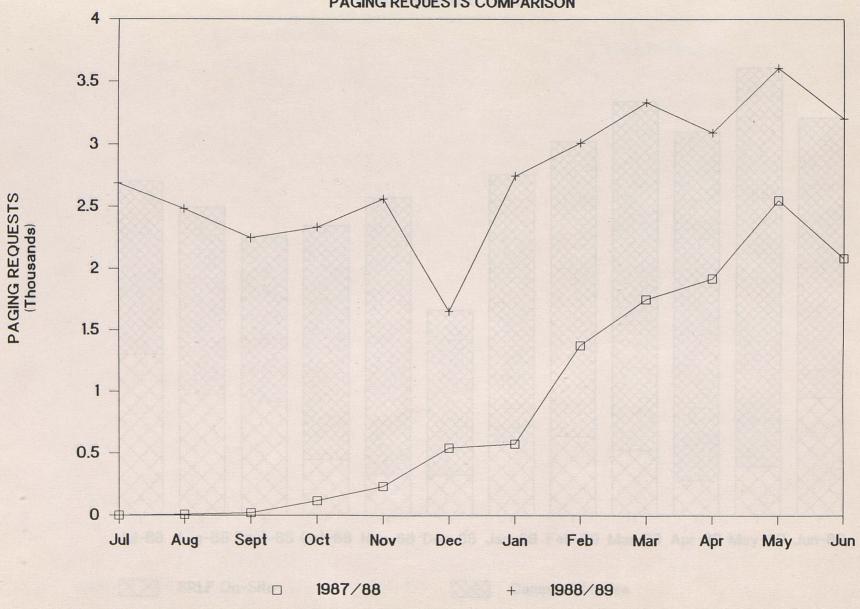
	Jul-88	Aug-88	Sept-88	Oct-88	Nov-88	Dec-88	Jan-89	Feb-89	Mar-89	Apr-89	May-89	Jun-89	1988/89	PREVIOUS YEAR TOTAL	GRAND TOTAL	
NUMBER OF VOLUMES RECEIVED							0011 07	100 07	nui o,	Api O	Hay 07	udii 07	TOTAL	TOTAL	TOTAL	
Irvine CANAGE AND RESULDING	7,093	9,410	3,735	10,891	6,682	5,693	11,720	2,729	0	10,665	7,782	10,151	86,551	18,911	105,462	
Los Angeles *	3,620	13,473	25,290	10,794	9,006	21,833	25,230	28,219	28,117	21,925	19,895	31,345	238,747	645,642	884,389	
Riverside	2,814	4,530	4,486	4,414	3,692	. 0	1,859	2,181	2,337	2,019	2,446	2,511	33,289	40,451	73,740	
San Diego	22,785	17,572	0	4,736	7,149	3,139	987	69	63	0	0	0	56,500	0	56,500	
Santa Barbara	0	0	0	0	2,263	3,822	3,927	0	8,623	6,747	3,569	8,949	37,900	0	37,900	
TOTAL	36,312	44,985	33,511	30,835	28,792	34,487	43,723	33,198	39,140	41,356	33,692	52,956	452,987		1,157,991	
*+59,500 pieces of microfiche 7/88	658		337	0 <b>101</b>	9 112	179		184	185	157	130	0	3.008	105,001	1,131,771	
ITEMS ADDED																
Irvine	9,015	11,621	4,175	22,028	6,718	3,087	3,243	6,915	11,313	9,270	7,826	4,659	99,870	5,677	105,547	
Los Angeles	60,001	61,839	51,127	26,874	38,380	28,175	36,264	28,956	30,509	33,370	31,128	28,676	455,299	376,055	831,354	
Riverside	0	0	300	640	0	10	1,439	4,961	10,529	4,791	9,554	7,455	39,679	0	39,679	
San Diego	0	0	0	64	689	1,283	3,425	556	16	136	403	64	6,636	0	6,636	
Santa Barbara	0	. 0	0	0	192	1,650	2,964	3,647	6,318	6,108	7,128	7,851	35,858	0	35,858	
TOTAL	69,016	73,460	55,602	49,606	45,979	34,205	47,335	45,035	58,685	53,675	56,039	48,705	637,342	381,732		
DEACCESSIONED ITEMS																
Irvine													0	0	0	
Los Angeles													15	19	34	
Riverside													0	0	0	
San Diego					376.								0	0	0	
Santa Barbara													2	0	2	
TOTAL													17	19	36	
AVERAGE ITEMS PER HOUR																
Library Assistants	35.24	36.14	36.74	34.08	37.90	36.17	39.74	29.93	32.63	35.85	34.46	34.50				
Students Assistants	33.89	38.12	34.58	30.35	34.05	29.04	33.10	36.57	35.36	32.99	37.14	35.93				
Overtime Staff	44.12	33.84	42.51	45.55	48.92	45.50	57.13	58.70	50.08	38.02	38.56	29.15				
Average Per Month	36.64	36.29	37.77	35.08	40.29	36.38	41.25	38.21	34.89	35.18	35.94	34.57				

													F	PREVIOUS	
													1988/89	YEAR	GRAND
PROCESSALIO PROPUENO PERCOTER TO	Jul-88	Aug-88	Sept-88	Oct-88	Nov-88	Dec-88	Jan-89	Feb-89	Mar-89	Apr-89	May-89	Jun-89	TOTAL	TOTAL	TOTAL
PROCESSING PROBLEMS REPORTED TO															
DEPOSITING CAMPUSES FOR RESOLUTION															
ITEMS ADDED	1,30														
Irvine	0	0	1	0	0	0	7	6	1	1	1	1	18		
Los Angeles	658	614	506	584	312	179	428	158	184	156	144	45	3,968		
Riverside	0	0	0	0	0	0	0	0	0	0	3	7	10		
San Diego	0	0	0	0	0	0	0	0	0	0	0	0	0		
Santa Barbara	0	0	0	0	0	0	0	0	0	0	0	0	0		
SUB TOTAL	658	614	507	584	312	179	435	164	185	157	148	53	3,996		
ITEMS NOT ADDED													54 575		
Irvine	. 0	0	7	9	0	7	24	24	. 18	5	4	2	100		
Los Angeles	136	103	98	48	44	216	55	55	32	53	89	173	1,102		
Riverside	0	0	0	0	0	0	0	0	0	0	57	74	131		
San Diego	0	0	0	. 0	0	0	0	0	0	0	0	0	0		
Santa Barbara	0	0	0	0	0	0	0	0	0	0	0	30	30		
SUB TOTAL	136	103	105	57	44	223	79	79	50	58	150	279	1,363		
TOTAL	794	717	612	641	356	402	514	243	235	215	298	332	5,359		
PROBLEMS RETURNED UNPROCESSED															
TO DEPOSITING CAMPUS															
Irvine	0	0	0	0	0	57	27	375	174	181	316	410	1,540		
Los Angeles	229	311	183	258	374	254	263	33	26	111	49	138	2,229		
Riverside	0	0	0	0	0.	0	0	0	0	0	27	375	402		
San Diego	0	0	0	0	0	0	527	116	0	0	108	113	864		
Santa Barbara	0	0	0	0	0	0	0	0	0	209	148	73	430		
TOTAL	229	311	183	258	374	311	817	524	200	501	648	1,109	5,465		
NUMBER OF ON-SITE USERS	73	83	83	95	114	80	82	91	103	85	119	132	1,140	223	1,363
									103	05	'''	132	1,140	LLJ	1,303

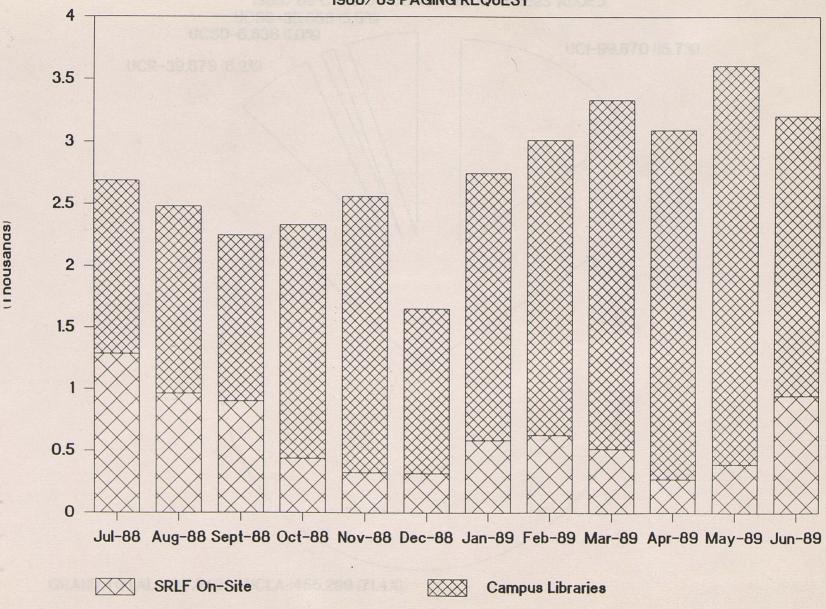
														REVIOUS	
	1.1.00												1988/89	YEAR	GRANI
PAGING REQUESTS	Jul -88	Aug-88	Sept-88	Oct-88	Nov-88	Dec-88	Jan-89	Feb-89	Mar-89	Apr-89	May-89	Jun-89	TOTAL	TOTAL	TOTA
SRLF On-Site Requests	1,287	967	901	438	323	747	F00	(22	F4F	270	700	0/4			
SUB TOTAL	1,287	967	901	438	323	317 317	582	622	515	272	392	941	7,557		
SOB TOTAL	1,201	901	901	430	323	317	582	622	515	272	392	941	7,557		
Requests from Campus Librar	ies														
Berkeley	0	0	0	0	0	0	0	0	0	0	0	0	0		
Davis	6	2	8	9	7	0	11	12	7	7	4	17	90		
Irvine	8	19	46	81	73	63	239	223	203	287	281	197	1,720		
Livermore	0	0	0	0	0	0	7	7	2	4	2	8	30		
Los Angeles	1,343	1,436	1,224	1,683	2,089	1,192	1,756	1,935	2,394	2,266	2,648	1,912	21,878		
Riverside	3	8	10	18	12	14	9	21	77	110	100	45	427		
San Diego	13	30	43	85	23	25	80	127	83	93	82	32	716		
San Francisco	0	0	0	0	0	0	0	0	0	0	0	2	2		
Santa Barbara	25	18	10	13	25	30	53	51	32	51	87	45	440		
Santa Cruz	4	3	7	7	9	11	10	14	22	3	12	6	108		
SUB TOTAL	1,402	1,516	1,348	1,896	2,238	1,335	2,165	2,390	2,820	2,821	3,216	2,264	25,411		
TOTAL	2,689	2,483	2,249	2,334	2,561	1,652	2,747	3,012	3,335	3,093	3,608	3,205	32,968		
TELEFASIMILE REQUESTS															
Berkeley	0	0	0	0	0	0	0	0	.0	0	0	0	0		
Davis	0	0	0	0	0	0	0	0	0	0	0	0	0		
Irvine/ILL	0	0	0	0	0	0	2	1	2	0	0	1	6		
Irvine/Biomed	0	0	0	0	0	0	9	5-	1	0	1	0	16		
Livermore	0	0	0	0	0	0	0	1	1	3	0	1	6		
Los Angeles	0	0	0	0	0	0	0	0	0	0	0	0	0		
Riverside	0	0	0	0		0	0	1	0	0	0	1	2		
San Diego/ILL	0	0	0	0	0	0	2	0	0	1	1	0	4		
San Francisco	0	0	0	0	0	0	0	0	0	0	0	0	0		
Santa Barbara	0	0	0	0	0	0	15	6	4	. 4	1	6	36		
Santa Cruz/ILL	0	0	0	0	0	0	0	0	0	0	2	1	3		
TOTAL	0	0	0	0	0	0	28	14	8	8	5	10	73		





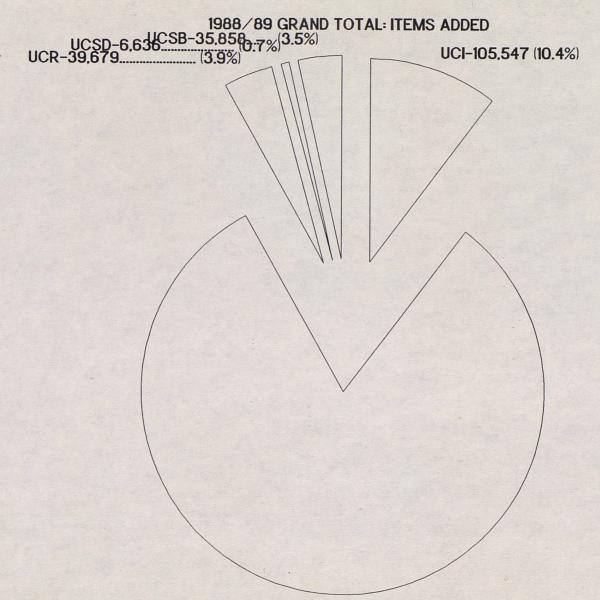






1988/89 CAMPUS COMPARISON - ITEMS ADDED UCSB-35,858 (5.6%) UCSD-6,636 (1.0%) UCI-99,870 (15.7%) UCR-39,679 (6.2%)

GRAND TOTAL: 637,342 UCLA-455,299 (71.4%)



Grand Total: 1,019,074 UCLA-831,354 (81.6%)



