UC SOUTHERN REGIONAL LIBRARY FACILITY

2010/11 ANNUAL REPORT

SIGNIFICANT EVENTS AND ACCOMPLISHMENTS 2010/11

Transform the Collection by continuing to build shared collections, by expanding preservation reformatting services, and by providing excellent management of the database systems for collection inventory control and report capabilities.

- New Deposits: in 2010/11, campus allocations for NRLF and SRLF deposits were reduced by 36%. The SRLF received 181,146 new items, including a total of 35,217 extra deposits (above allocations) from UCSB, UCSD, and the UCL Shared Print Collections.
- New items added to the collections in 2010/11 totaled 181,795.

| UCI Libraries | 5682 | 3% |
|--------------------------------|-------|------|
| UCLA Libraries | 80657 | 44% |
| UCLA Film & Television Archive | 1553 | .8% |
| UCR Libraries | 9415 | 5% |
| UCSD Libraries | 26187 | 14% |
| UCSB Libraries | 11744 | 6.7% |
| UCL Shared Print Collection | 40017 | 22% |
| UC JSTOR Archive | 6540 | 4.5% |

➤ In 2010/11 the SRLF launched a project to weed duplicate copies from the circulating collections in an effort to reclaim shelf space for incoming deposits. Duplicate volumes are pulled from the stacks, the volumes are reviewed and the best-condition copy is retained. The discard volumes are paired with incoming volumes of like size, and processing staff transfer the item barcode from the discard to the new item. 11,666 duplicate volumes were removed from the SRLF. This weeding effort will continue in 2011/12 and beyond.

- In coordination with UCLA Library units, SRLF processing staff are editing the owning unit's summary holdings statements as deposits are processed (thus saving staff time previously committed by Print Acquisitions staff and also reducing duplicate deposits). Effective March 2011, SRLF is now handling the discard of UCLA serials duplicate deposits and updating the UCLA holdings records accordingly.
- ➤ Together with UCLA's Print Acquisitions Manager and the CDL Shared Print Manager, SRLF managers formulated a transfer and implementation plan to relocate the UCL Shared Print acquisitions workload from Print Acquisitions to the SRLF, with the goal of eliminating redundant handling and processing of the shared print journal collection. Approvals of this transfer proposal by UCLA Library administrators and by the Director of CDL Collection Development and Management were obtained, and the transition of acquisition functions to SRLF began in June 2011.
- ➤ Paging and ILL requests totaled 113,914, a decrease of 7% from the previous year. The SRLF filled 6,152 document delivery requests electronically, either direct to the patron via the Web or to the requesting libraries via Ariel.
- ➤ ILL requests from UC and non-UC libraries decreased from the previous year, but the more significant decrease came from UC libraries. Non-UC requests now represent more than 24% of the SRLF's ILL workload. The continuing shift in workload from UC to non-UC requests presents some challenges for shipping and receiving staff. Fortunately the SRLF has been able to assign more staff resources to support the ILL section, enabling SRLF to maintain 24-hour turn around for UC requests and a 48-hour turn around on filling non-UC requests.
- SRLF Preservation Imaging continued to serve the UC needs for preservation reformatting of library collections. In addition to sustaining the existing programs that support microfilming and digitization of local newspaper titles and unique manuscript and photograph collections, the Stokes Imaging Pilot continued through 2010/11. In Spring 2011, the pilot concluded with UCLA Library purchasing the Stokes Imaging equipment. SRLF will continue to host the Stokes digitization equipment, with funding for staff resources provided by the UCLA Library.

Preservation imaging statistics for 2010/11 include the following:

| Microfilm images filmed (frames) | 23405 |
|-------------------------------------|-------|
| Microfilm reels produced | 176 |
| Digital scanning images captured | 26907 |
| Microfilm-to-digital images scanned | 68017 |

➤ JSTOR Phase 4, with 246 new titles and circa 4.3 million pages of content, occupied team members throughout the year. Titles began arriving from UC campuses in September 2010, and by the end of June 2010 3.15 million pages had been validated and 6,540 volumes had been added to the Archive. Together with Phases 1, 2, and 3 titles, the JSTOR Team has validated a grand total of ca. 30 million pages and compiled a print archive of 57,902 bound volumes. In 2010/11 modest progress was made on acquiring volumes that were listed on the SRLF/JSTOR Wish List. The Wish List contains titles and volumes that are missing from the Archive and for which the team could not find acceptable copies within the UC Library collections. Preliminary work on Phase 5 has already begun, with 353 additional titles to be added and ca. 4.5 million pages to be validated in 2011/12.

The JSTOR Project successfully passed its fourth audit in November 2010 with an audit score of 99.95% accuracy.

➤ Technology and network support activities during the year included the successful installation and reconfiguration of a new Stokes Imaging processor and updated IWS software. IT staff also worked with Preservation Imaging technicians to resolve various workstation and software problems (Stokes and Mekel software programs in particular), as well as creating new scripts that simplify workflow and file management of the Stokes images. IT staff continued testing Windows 7 in preparation for the migration of the SRLF network from Windows XP scheduled for Fall 2011.

Make Specialized Collections Accessible through digitization projects, and continue to explore options and solutions for preserving and servicing the newspaper collection.

- Preservation Imaging staff focused their efforts on digitization of UCLA Library Special Collections' manuscript and photographic collections, with emphasis on the Armenian manuscripts, the Holling C. Holling collection, and continued progress on the Motion Picture Stills collection. The Stokes Pilot continued in 2010/11 with additional unique photo and manuscript collections that included supplemental work on the Walter Gordon collection and test images of SOUL magazine.
- Preservation Officer and Print Acquisitions staff, the SRLF newspaper holdings were measured for space and summary holdings data completed. Combined with similar information for newspaper titles held at UCLA Library, the space measurements and holdings data reports were supplied to the Center for Research Libraries (CRL). We are waiting for a decision from CRL on titles that will be accepted into the CRL program. SRLF newspaper holdings include titles that are rare or of regional importance. These titles will remain at UCLA for digital reformatting and preservation. SRLF's Preservation Imaging staff have begun digital preservation of Deutsches Nachrichtenburo, published in Germany during the Third Reich. Digitization of this rare title will continue in 2011/12.
- Participation in WEST (Western Regional Storage Trust): SRLF has committed to serve as an Archive Builder and Archive Holder, and in that capacity will coordinate with other WEST Archive Builders to complete journal runs for selected titles. SRLF is represented on the WEST Operations and Collections Council and on the WEST Access Guidelines Subgroup. WEST was launched at the SRLF in June 2011, with orientation and training of staff provided by WEST/CDL project managers. Receiving, validation, and processing of WEST Bronze and Gold titles at SRLF will begin in August 2011.
- ▶ Participation in the OCLC Shared Print Disclosure Pilot: Launched in January 2011, SRLF has participated in conference calls to review the evolving guidelines and standards for the 583 field. SRLF has provided sample records from various Shared Print collections, and has coded the disclosure statements for shared print holdings at the SRLF. Work is currently underway to establish WEST-specific guidelines for the Local Holdings Records (LHRs) and the 583 disclosure statements.

Enhance Learning Spaces with improvements to physical and virtual spaces.

SRLF staff assisted with the UCLA Chemistry Library closure (moving collections from Chemistry to Biomed Library) and assisted with the de-duplication of SEL holdings. 5076 volumes from SEL that had been stored at the SRLF were discarded, thereby recovering 1,100 linear feet of shelving for new deposits. In addition, SRLF staff produced Voyager reports for the Chemistry Closure Team on duplicate holdings at SEL, Biomed, and other campus locations. These reports enabled the Closure Team to more easily identify duplicates, make decisions on weeding the Chemistry collection, and better forecast the size of the remaining permanent collection. A total of 98.5 staff hours were provided by SRLF to the Chemistry closure project.

- ➤ SRLF completed a survey of the remaining space in Phase 2, and provided revised reports for the University Librarians on the estimated fill-dates for SRLF circulating collections, special collections, and the Shared Print collections. The estimated fill-date for circulating print volumes is November 2012; space remains available for rare books, microfilm, and Shared Print volumes through March 2015. Based on current rates of deposit, the SRLF will have room for archives and manuscripts (i.e., boxed collections) through 2017.
- The redesign of SRLF intranet and internet pages has proceeded slowly. Content management software Sitefinity has been installed on the new Intranet server, and staff have identified important applications that need to be re-written before migration to the new CMS. This work is being coordinated with the high-priority move from XP to Windows 7. SRLF staff representing IT, Processing, and Public Services have been actively testing Windows 7 on the existing applications.

GOALS AND STRATEGIES FOR 2011/12

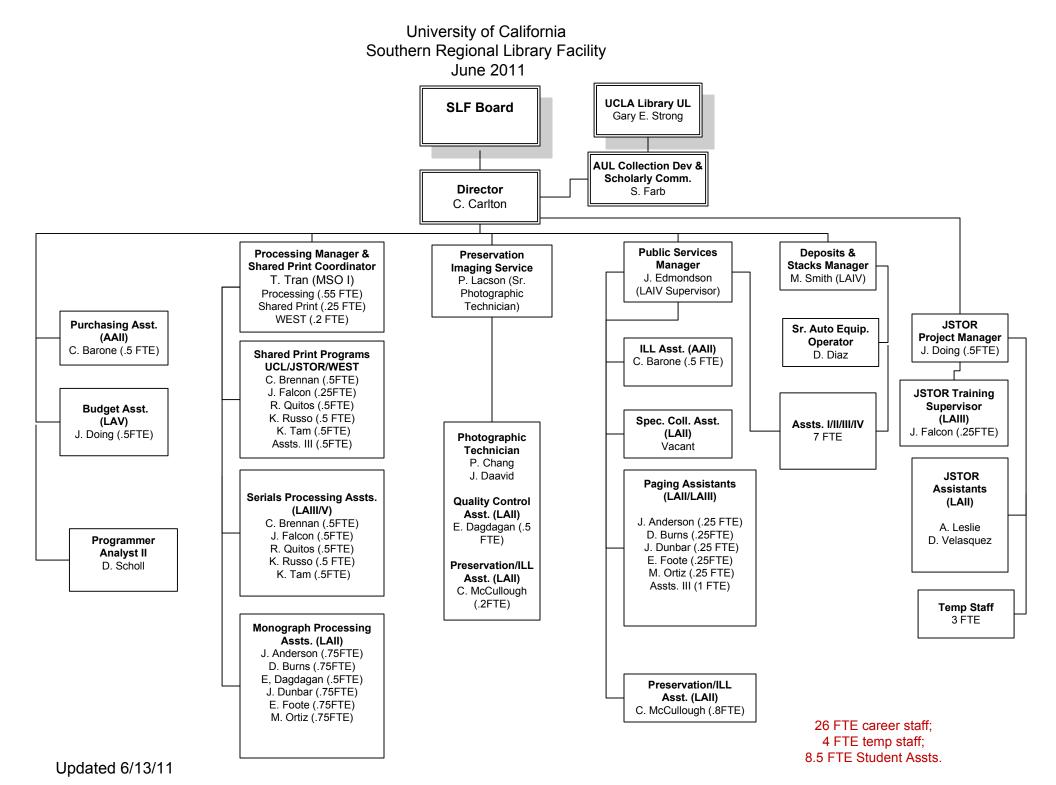
- **Transform the Collection** by continuing to build shared collections, by expanding preservation reformatting services, and by providing excellent management of the database systems for collection inventory control and report capabilities.
 - Allocate staff resources to receive and process campus deposits totaling up to 151,000 volumes (including 22,400 UC Shared Print deposits). Continue efforts to de-duplicate 100,000+ monograph and serial items from the circulating collection, thereby extending SRLF capacity for these collections.
 - Complete the transition of UCL Shared Print acquisition functions from UCLA Print Acquisitions to SRLF, and ensure that title lists, claims, gap lists, and other tools are accurately maintained and available via the CDL/Shared Print wiki site.
 Monitor and evaluate the progress and new procedures, and seek feedback from

- CDL managers and UC/CDC representatives on the usefulness and accuracy of those lists and reports.
- Continue commitment to build the UC JSTOR Print Archive with Phase 5 that will include 353 new titles and 4.5 million pages of additional content.
- Implement WEST (Western Regional Storage Trust) Archive Builder program for Bronze and Gold titles. Complete the receipt, validation, and disclosures of Bronze and Gold archives within the review cycle.
- Continue to promote and maximize preservation reformatting services, including
 microfilm-to-digital projects from the UC collection of preservation microfilm.
 Provide adequate staffing for Stokes Imaging to digitize manuscripts, photographs,
 and other print collections for the UCLA Library. Coordinate staff resource needs
 with incoming digitization projects, shifting assignments as needed and adding
 new staff positions (pending budget support from UCLA Library).
- Incorporate continuous process improvement. Working together with UCLA Library units, share ideas and explore options for improving workflows within technical processing, resource sharing, shared print programs, and the preservation imaging programs. Coordinate SRLF process improvements with the efforts of UCLA Print Acquisitions, Cataloging/Metadata, Scholarly Communication and Licensing, and Preservation.
- Make Specialized Collections Accessible through digitization projects and continue to explore options and solutions for preserving and servicing UC Library Collections.
 - Expand production capacity of digital reformatting, with emphasis on UCLA Digital Library programs. Continue to provide consultations, cost estimates, and project schedules upon request. Continue testing the capabilities of the Stokes Scanner to digitize UCLA manuscript collections; partner with Digital Library and CRIS to build the digital map collection; publicize and encourage microfilm scanning service to all campuses that deposit microfilm collections.
 - Prepare for participation in the Google Books project as lists of SRLF holdings are reviewed and prioritized for scanning in 2011/12.

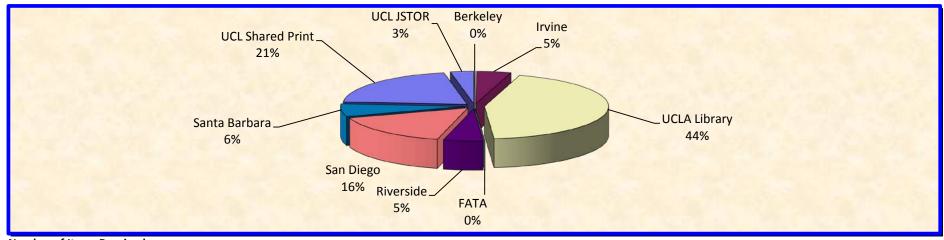
- Continue efforts to complete the processing of preservation microfilm collections (masters and print negatives), ensuring accuracy of the SRLF holdings in the local catalog and in Melvyl/WCL. Goal is to complete the backlog of ca. 20K reels in the next two years.
- Prepare to package and ship newspapers to CRL pending CRL's decision to accept UCLA holdings. Provide microfilm or digital preservation of select newspaper titles as requested, including completion of digital reformatting of rare newspaper titles from UCLA Library's collection.
- O Maintain and enhance the expanding collection of data sources for projects that include JSTOR Phase 5, SRLF Requests and Voyager Reports, FATA request management database, and the De-Duplication projects. Provide SRLF's IT staff with time and development training that will enable them to migrate existing databases to new, more robust web-based applications.

Enhance Learning Spaces with improvements to physical and virtual spaces.

- Complete the redesign of SRLF's intranet interface and the SRLF public website, bringing the website to current web standards and accessibility standards. Using Telerik's Sitefinity CMS application software, import and update existing content and applications to take full advantage of the CMS applications.
- Update and enhance existing Intranet and Extranet applications with newer
 Microsoft Office or web technologies, and add functionality for improved end user productivity.
- Survey the remaining space in SRLF Phase 2 and provide updates to the University Librarians on the expected fill dates. Together with the UCLA University Librarian, the DUL, and UCLA Capital Programs, identify Phase 3 space needs for collection storage, expanded digitization services, and processing of collections.
- Together with NRLF, develop a plan to identify deposit allocations for the RLFs as SRLF Phase 2 nears full capacity (November 2012 for circulating collections). Also identify allocations and transportation logistics across north and south regions for all UC campus depositors.
- Support efforts to enhance learning spaces at the campus locations by providing storage space so that UC libraries can open up and repurpose campus space.



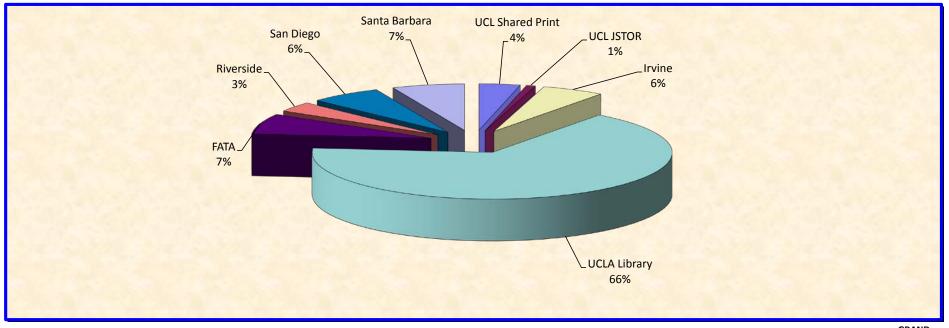
ITEMS RECEIVED
JULY 2010 thru JUNE 2011



Number of Items Received

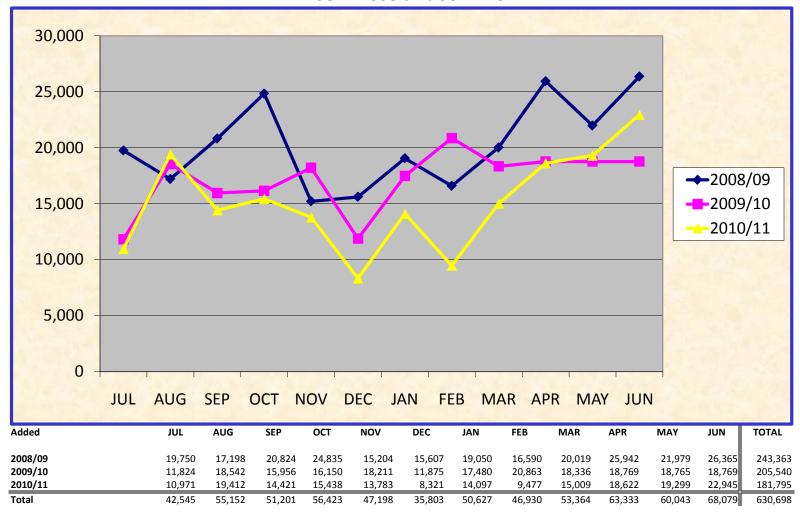
| _ | Jul-10 | Aug-10 | Sep-10 | Oct-10 | Nov-10 | Dec-10 | Jan-11 | Feb-11 | Mar-11 | Apr-11 | May-11 | Jun-11 | To Date |
|----------------------|--------|--------|--------|--------|--------|--------|---------|---------|---------|--------|--------|--------|---------|
| Berkeley | | | | | | | 126 | 38 | | | | | 164 |
| Irvine | | 2,641 | | 2,233 | | 1,650 | | | | 1,564 | | | 8,088 |
| Los Angeles | | | | | | · | | | | | | | |
| UCLA Library | 2,647 | 6,965 | 5,012 | 5,600 | 8,756 | 7,483 | 4,639 | 10,035 | 8,949 | 6,244 | 7,068 | 6,775 | 80,173 |
| FATA | - | - | - | | | | - | | | - | | | 0 |
| Los Angeles Subtotal | 2,647 | 6,965 | 5,012 | 5,600 | 8,756 | 7,483 | 4,639 | 10,035 | 8,949 | 6,244 | 7,068 | 6,775 | 80,173 |
| Riverside | 920 | · | -,- | 2,043 | -, | 3,035 | ,,,,,,, | ,,,,,,, | | -, | 3,353 | -, - | 9,351 |
| San Diego | 320 | 4,421 | 8,151 | 5,954 | | 3,033 | | 3,118 | | 3,131 | 405 | 3,835 | |
| - | | | · | - | 425 | | 4.070 | | 2 4 0 4 | | | 3,633 | · |
| Santa Barbara | | 2,051 | 354 | 203 | 135 | | 1,978 | 1,070 | 2,101 | 2,103 | 1,477 | | 11,472 |
| UCL Shared Print | 2,607 | 2,325 | 2,618 | 3,785 | 1,907 | 5,097 | 4,005 | 3,725 | 3,685 | 3,024 | 3,005 | 1,827 | 37,610 |
| UCL JSTOR | | | | | | 366 | | 1,778 | 346 | 1,238 | 711 | 998 | 5,437 |
| Deposits Received | 3,567 | 16,078 | 13,517 | 16,033 | 8,891 | 12,534 | 6,617 | 16,001 | 11,396 | 14,280 | 13,014 | 11,608 | 181,146 |

U. C. Southern Regional Library Facility Items Added By Campus From July 2010 Thru June 2011

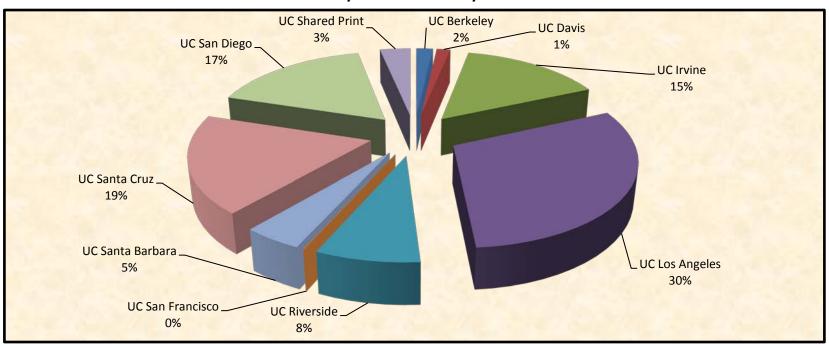


| ltems Added | Jul-10 | Aug-10 | Sep-10 | Oct-10 | Nov-10 | Dec-10 | Jan-11 | Feb-11 | Mar-11 | Apr-11 | May-11 | Jun-11 | 2010/11 TOTAL | 1987/2010 TOTAL | GRAND TOTAL TO DATE |
|-----------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|------------------|--------------------|---------------------------|
| UCL Shared Print | 1,485 | 5,673 | 1,039 | 4,314 | 4,755 | 2,223 | 2,947 | 1,746 | 96 | 7,539 | 4,765 | 3,435 | 40,017 | 188,708 | 228,725 |
| UCL JSTOR | 837 | 468 | 1,089 | 886 | 379 | 452 | 689 | 623 | 32 | 82 | 424 | 579 | 6,540 | 43,922 | 50,462 |
| Irvine | 1,122 | 704 | 274 | 343 | 459 | 104 | 29 | 0 | 728 | 440 | 311 | 1,168 | 5,682 | 342,716 | 348,398 |
| Los Angeles | | | | | | | | | | | | | | | |
| UCLA Library | 1,110 | 8,916 | 7,665 | 5,548 | 6,800 | 4,080 | 6,541 | 5,089 | 9,745 | 4,585 | 9,148 | 11,430 | 80,657 | 4,024,132 | 4,104,789 |
| FATA | | | | | | | 1,553 | | | | | | 1,553 | 437,890 | 439,443 |
| Los Angeles Sub-Total | 1,110 | 8,916 | 7,665 | 5,548 | 6,800 | 4,080 | 8,094 | 5,089 | 9,745 | 4,585 | 9,148 | 11,430 | 82,210 | 4,462,022 | 4,544,232 |
| Riverside | 3,430 | 338 | 200 | 771 | 385 | 641 | 725 | 533 | 578 | 1,508 | 122 | 184 | 9,415 | 204,741 | 214,156 |
| San Diego | 823 | 12 | 3,023 | 1,745 | 237 | 290 | 1,452 | 1,102 | 3,434 | 4,164 | 4,012 | 5,893 | 26,187 | 372,992 | 399,179 |
| Santa Barbara | 2,164 | 3,301 | 1,131 | 1,831 | 768 | 531 | 161 | 384 | 396 | 304 | 517 | 256 | 11,744 | 391,525 | 403,269 |
| Total | 10,971 | 19,412 | 14,421 | 15,438 | 13,783 | 8,321 | 14,097 | 9,477 | 15,009 | 18,622 | 19,299 | 22,945 | 181,795 | 6,006,626 | 6,188,421 |

ITEMS ADDED
JULY 2008 thru JUNE 2011

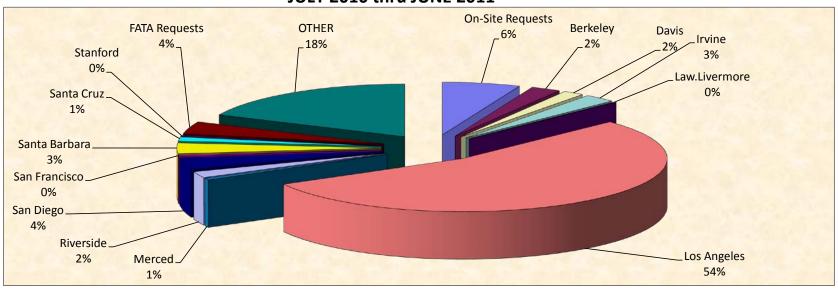


Contributions to JSTOR by Campus 2006/2007 thru 2010/2011



| Campus | CORESTORE | JSTOR | JSTOR | JSTOR | JSTOR | JSTOR | JSTOR | Grand Total to |
|------------------|-----------|-----------|-----------|-----------|-----------|-----------|--------|-----------------------|
| | 2005/2006 | 2006/2007 | 2007/2008 | 2008/2009 | 2009/2010 | 2010/2011 | Total | Shared Print |
| UC Berkeley | 0 | 409 | 140 | 227 | 173 | 79 | 1,028 | 1,028 |
| UC Davis | 0 | 37 | 136 | 508 | 142 | 28 | 851 | 851 |
| UC Irvine | 41 | 3,065 | 1,692 | 1,674 | 1,154 | 1,165 | 8,750 | 8,791 |
| UC Los Angeles | 685 | 4,362 | 5,360 | 2,417 | 2,976 | 2,539 | 17,654 | 18,339 |
| UC Riverside | 65 | 1,222 | 997 | 1,320 | 395 | 607 | 4,541 | 4,606 |
| UC San Francisco | 0 | 0 | 0 | 0 | 30 | 0 | 30 | 30 |
| UC Santa Barbara | 87 | 511 | 568 | 680 | 532 | 318 | 2,609 | 2,696 |
| UC Santa Cruz | 0 | 8,087 | 817 | 929 | 777 | 163 | 10,773 | 10,773 |
| UC San Diego | 0 | 5,072 | 1,534 | 1,044 | 1,490 | 635 | 9,775 | 9,775 |
| UC Shared Print | 0 | 0 | 10 | 430 | 289 | 1,162 | 1,891 | 1,891 |
| Total Added | 878 | 22,765 | 11,254 | 9,229 | 7,958 | 6,696 | 57,902 | 58,780 |

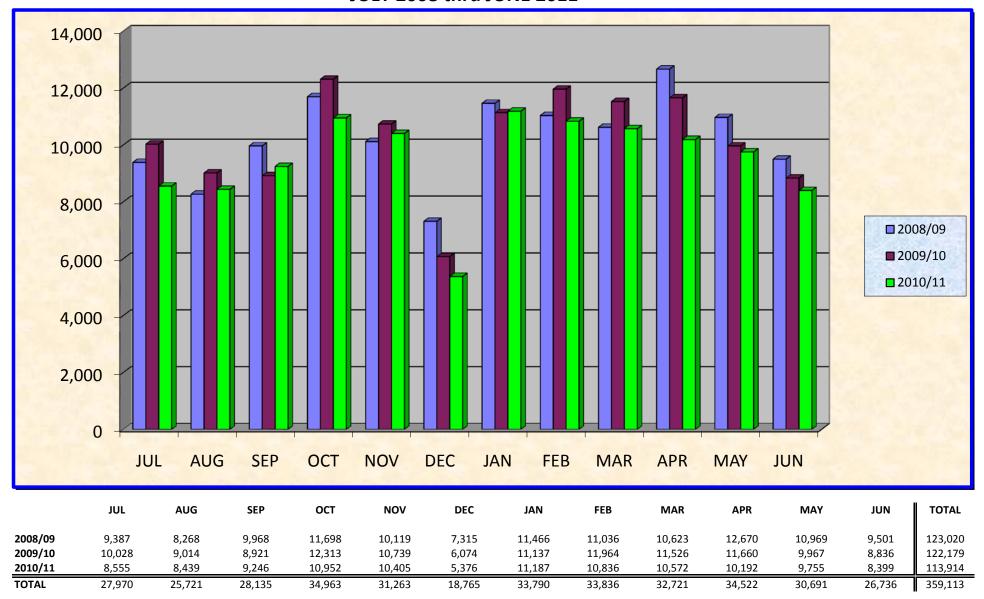
PAGING REQUESTS JULY 2010 thru JUNE 2011



| | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | To Date |
|------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|---------|
| On-Site Requests | 567 | 496 | 634 | 513 | 572 | 404 | 610 | 643 | 497 | 634 | 693 | 770 | 7,033 |

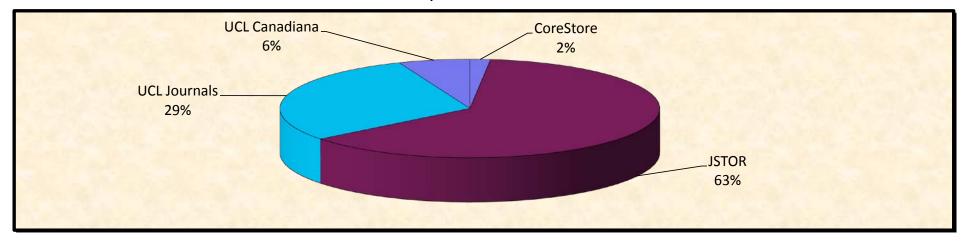
| Origin of Paging Requests | | | | | | | | | | | | | |
|---------------------------|--------|--------|--------|--------|----------|--------|--------|--------|--------|--------|--------|--------|---------|
| | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | To Date |
| Berkeley | 149 | 214 | 283 | 242 | 262 | 112 | 198 | 309 | 271 | 272 | 200 | 171 | 2,683 |
| Davis | 120 | 155 | 163 | 155 | 136 | 69 | 222 | 185 | 169 | 220 | 162 | 122 | 1,878 |
| Irvine | 188 | 177 | 324 | 288 | 203 | 149 | 342 | 276 | 259 | 250 | 212 | 181 | 2,849 |
| Law.Livermore | 7 | 14 | 12 | 9 | 14 | 7 | 17 | 15 | 13 | 15 | 9 | 9 | 141 |
| Los Angeles | 4,705 | 4,583 | 4,556 | 6,014 | 5,673 | 2,827 | 6,050 | 6,075 | 5,748 | 5,560 | 5,556 | 4,320 | 61,667 |
| Merced | 39 | 40 | 64 | 46 | 60 | 21 | 56 | 56 | 48 | 47 | 17 | 76 | 570 |
| Riverside | 112 | 120 | 193 | 174 | 165 | 77 | 292 | 238 | 199 | 163 | 172 | 215 | 2,120 |
| San Diego | 278 | 380 | 323 | 407 | 432 | 127 | 399 | 313 | 285 | 434 | 471 | 338 | 4,187 |
| San Francisco | 38 | 29 | 21 | 22 | 15 | 10 | 24 | 29 | 32 | 17 | 49 | 64 | 350 |
| Santa Barbara | 216 | 286 | 237 | 356 | 285 | 191 | 496 | 380 | 317 | 295 | 357 | 286 | 3,702 |
| Santa Cruz | 80 | 130 | 120 | 150 | 139 | 61 | 124 | 135 | 142 | 114 | 135 | 83 | 1,413 |
| Stanford | 31 | 16 | 18 | 40 | 36 | 5 | 26 | 41 | 49 | 42 | 27 | 26 | 357 |
| SUB TOTAL | 5,963 | 6,144 | 6,314 | 7,903 | 7,420 | 3,656 | 8,246 | 8,052 | 7,532 | 7,429 | 7,367 | 5,891 | 81,917 |
| _ | | | | | <u>.</u> | | | | | | | | |
| FATA Requests | 278 | 305 | 306 | 282 | 379 | 248 | 485 | 289 | 511 | 422 | 426 | 363 | 4,294 |
| _ | | | | | | | | | | | | | , |
| OTHER | 1747 | 1494 | 1992 | 2254 | 2034 | 1068 | 1846 | 1852 | 2032 | 1707 | 1269 | 1375 | 20,670 |
| | | | | | | | | | | | | | |
| GRAND TOTAL | 8,555 | 8,439 | 9,246 | 10,952 | 10,405 | 5,376 | 11,187 | 10,836 | 10,572 | 10,192 | 9,755 | 8,399 | 113,914 |

PAGING REQUESTS JULY 2008 thru JUNE 2011



Paging Requests Filled for Shared Print Collections

July 2010 thru June 2011



| | | | | | | | | | | | | | | Total from | |
|---------------|---------|--------|----------|---------|---------|---------|---------|---------|---------|---------|--------|---------|-----------|------------|-------------|
| | | | | | | | | | | | | | Total | 05/06 - | |
| | July 10 | Aug 10 | Sept. 10 | Oct. 10 | Nov. 10 | Dec. 10 | Jan. 11 | Feb. 11 | Mar. 11 | Apr. 11 | May 11 | June 11 | 2010/2011 | 09/10 | Grand Total |
| CoreStore | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 4 | 6 |
| JSTOR | 0 | 0 | 2 | 1 | 0 | 42 | 2 | 5 | 5 | 7 | 6 | 2 | 72 | 98 | 170 |
| UCL Journals | 0 | 2 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 29 | 1 | 34 | 82 | 116 |
| UCL Canadiana | 1 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 7 | 21 | 28 |
| Total | 1 | 2 | 4 | 2 | 3 | 43 | 2 | 5 | 5 | 8 | 36 | 4 | 115 | 205 | 320 |